Association between thyroid function tests at baseline and the outcome of patients with sepsis or septic shock: a systematic review

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Abstract

Introduction: The severity of critical illness is associated with various patterns of thyroid hormone abnormalities. We sought to evaluate whether the outcome of patients with, specifically, sepsis or septic shock is associated with the thyroid function tests evaluated at diagnosis or admission in the intensive care unit (ICU).

Methods: We performed a systematic review of relevant studies by searching PubMed.

Results: We included nine studies that all had a prospective cohort design. Seven involved children or neonates, and two involved adults. Mortality was the outcome evaluated in eight studies, while the length of ICU stay was evaluated in the remaining study. In univariate analysis, six of the nine included studies showed that either, free or total, triiodothyronine or thyroxine was lower in the group of patients with sepsis or septic shock who had unfavorable outcome than in those who had favorable outcome. Two other studies showed higher TSH values in the group of patients with unfavorable outcome. No significant relevant findings were observed in the remaining study. Regarding the correlation of sepsis prognostic scoring systems with thyroid function tests, the three studies that provided specific relevant data showed variable findings.

Discussion: Most of the relevant studies identified favor the concept that decreased thyroid function at baseline might be associated with a worse outcome of patients with sepsis or septic shock. Although these findings are not consistent, the role of thyroid function in affecting or merely predicting the outcome of sepsis or septic shock merits further investigation.

Introduction

Thyroid hormones play an important role in the adaptation of metabolic function to stress and critical illness (1). In hospitalized patients, thyroid hormone alterations are very common, particularly in those of increased age or in those with critical illness (2, 3). Low triiodothyronine (T3) is commonly observed in the latter group of patients, which can be attributed to increased deiodination of thyroxine (T4) to reverse T3 (rT3), rather than T3, and increased catabolism of T3 to 3,3-diodothyronine (T2) (4–6). With increasing severity of illness, low total and free T4, and sometimes low TSH, can be observed (6). Decrease in plasma T4-binding globulin (TBG) or transthyretin as well as accumulation of substances that lower the plasma thyroid hormone-binding capacity appear also to be important for the above-mentioned alterations in thyroid hormone levels during critical illness (4).

For the vast majority of patients, thyroid function abnormalities observed during critical illness are transient and do not represent an underlying thyroid disease (7). Still, certain data suggest that the magnitude of thyroid hormone alterations in patients admitted to the intensive care unit (ICU) due to various causes is associated adversely with the patient outcome (8–11). In this review, we sought to evaluate systematically the association between baseline thyroid function and the outcome of patients with, specifically, sepsis or septic shock.

Methods

Data sources

To identify studies eligible for inclusion in this review, we searched PubMed, on February 1, 2009, applying the following combined search term: (thyroid disease OR thyroid OR hypothyroidism OR hyperthyroidism OR TSH OR T3 OR T4 OR thyroid hormones) AND (infection OR sepsis OR bacteremia OR pneumonia OR nosocomial...
infection OR septic shock). The bibliographies of relevant articles were also hand searched. We performed an updated PubMed search on October 1, 2010.

**Study selection criteria**

We selected for inclusion in our review, case–control or cohort studies (either prospective or retrospective) or clinical trials that provided data on the association between thyroid hormones at baseline and the outcome of patients of any age with sepsis or septic shock. We considered baseline thyroid function tests as those referring to the time of diagnosis of sepsis or the time of admission in the ICU. We specifically evaluated English, French, German, Italian, and Spanish language articles.

**Data extraction**

Data extracted from each of the included studies were those referring to the study design, the characteristics of the study population and the subgroups of patients compared, the baseline values of thyroid hormones, and their statistical association with the patient outcome through univariate or multivariate analysis, where reported. We also extracted data on the correlation of the thyroid hormones at baseline with sepsis prognostic scores.

**Results**

**Characteristics of the included studies**

From the literature searches that we performed in PubMed, we retrieved a total of 3633 articles. After first screening, based on the title and the abstract, we selected 202 articles for further detailed evaluation, after which, we identified nine studies as eligible for inclusion in this review (12–19). The process of selecting articles for inclusion in this review is depicted graphically in Fig. 1.

All of the nine included studies had a prospective cohort design. Five of the studies involved children (1, 13–15, 17), two additional studies involved exclusively neonates (12, 16), and the remaining two studies involved adults (18, 19). Mortality was the outcome evaluated in eight of the studies (1, 12–19), while in the remaining study, the outcome evaluated was the length of stay in the pediatric ICU (PICU) (13). In six of the included studies, it was specifically reported that the blood samples for thyroid hormone measurements were taken before administration of dopamine (1, 12–16, 19).

**Association of thyroid hormones at baseline with outcome**

In Table 1, we present data on the association between serum thyroid hormone levels at baseline and the outcome of patients with sepsis or septic shock.
Table 1 Association between baseline thyroid hormones and outcome of patients with sepsis or septic shock in different studies.

<table>
<thead>
<tr>
<th>Study</th>
<th>Study design</th>
<th>Characteristics of whole study population</th>
<th>Comparison of patient groups (unfavorable versus favorable outcome), n</th>
<th>Age in years, median (range) or mean ± s.a.</th>
<th>Sex (males/total)</th>
<th>Values of thyroid hormones in the compared groups</th>
<th>Comparison in univariate analysis</th>
<th>Comparison in multivariate analysis</th>
</tr>
</thead>
<tbody>
<tr>
<td>(12)</td>
<td>Prospective case–control study</td>
<td>Cases: 143 newborns admitted in PICU with sepsis due to Staphylococcus aureus, Streptococcus pneumoniae, Escherichia coli, Klebsiella pneumoniae, Pseudomonas aeruginosa, Klebsiella oxytoca</td>
<td>All were term- or preterm-neonates</td>
<td>72/143</td>
<td>T₃, ng/dl (mean ± s.a.)</td>
<td>112.8 ± 51.0</td>
<td>172.2 ± 61.5</td>
<td>P &lt; 0.001</td>
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<td>T₄, ng/dl (mean ± s.a.)</td>
<td>5.9 ± 1.5</td>
<td>7.1 ± 2.3</td>
<td>P &lt; 0.01</td>
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<td>TSH, mIU/l (mean ± s.a.)</td>
<td>2.2 ± 1.4</td>
<td>4.1 ± 2.1</td>
<td>P &lt; 0.01</td>
</tr>
<tr>
<td>(13)</td>
<td>Prospective cohort study</td>
<td>Forty-four children in PICU who survived from meningococcal septic shock (31 had meningococcemia)</td>
<td>Patients with long versus short PICU stay, 11 vs 33</td>
<td>2.5 (0.1–15.7) vs 5 (0.3–16.1)</td>
<td>T₃, nmol/l (geometric mean ± s.e.m.)</td>
<td>0.24 (0.20–0.28)</td>
<td>0.38 (0.36–0.40)</td>
<td>P = 0.022</td>
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<td>T₄, nmol/l (geometric mean ± s.e.m.)</td>
<td>Low in 50%, normal in 50%</td>
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<td>NS</td>
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<td></td>
<td>fT₃, pmol/l (geometric mean ± s.e.m.)</td>
<td>High in 50%, normal in 50%</td>
<td></td>
<td>NS</td>
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<td>TSH, mIU/l (geometric mean ± s.e.m.)</td>
<td>Normal</td>
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<td>NS</td>
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<td>T₄, ng/dl (median (95% CI))</td>
<td>40 (40.00–40.23)</td>
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<td>NS</td>
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<td></td>
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<td></td>
<td></td>
<td>T₃, ng/dl (median (95% CI))</td>
<td>4.45 (1.9–6.0)</td>
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<td>NS</td>
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<td></td>
<td>fT₃, pg/ml (median (95% CI))</td>
<td>1.85 (0.57–0.95)</td>
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<td>NS</td>
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<td></td>
<td>TSH, mIU/l (median (95% CI))</td>
<td>0.77 (0.57–0.95)</td>
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<td>NS</td>
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<td></td>
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<td></td>
<td>T₃, nmol/l (median (95% CI))</td>
<td>1.21 (0.27–2.96)</td>
<td></td>
<td>0.26 (0.22–0.88)</td>
</tr>
</tbody>
</table>

*Significance level for comparison in multivariate analysis.
Table 1 Continued

<table>
<thead>
<tr>
<th>Study</th>
<th>Study design</th>
<th>Characteristics of whole study population</th>
<th>Comparison of patient groups (unfavorable versus favorable outcome), n</th>
<th>Values of thyroid hormones in the compared groups</th>
<th>Comparison in univariate analysis</th>
<th>Comparison in multivariate analysis</th>
</tr>
</thead>
<tbody>
<tr>
<td>(14)</td>
<td>Prospective cohort study</td>
<td>Forty-five children admitted in PICU with meningococcal sepsis or septic shock</td>
<td>Non-survivors versus septic shock survivors and sepsis survivors, 8 vs 30 and 7</td>
<td>Age in years, median (range) or mean ± s.d.</td>
<td>1.1 (0.5–9.4) vs 4.3 (0.1–18.1) and 6.1 (2.3–12.2) (P &lt; 0.05)</td>
<td>78 vs 19/30 vs 4/7</td>
</tr>
<tr>
<td>(15)</td>
<td>Prospective cohort study</td>
<td>Seventy-two children admitted in the PICU with sepsis or septic shock due to bacteremia by Pseudomonas aeruginosa, Klebsiella pneumoniae, Staphylococcus aureus, or Escherichia coli</td>
<td>Non-survivors versus survivors, 26 vs 46</td>
<td>Sepsis cases: 2.5 ± 2.3, septic shock cases: 3.0 ± 2.3</td>
<td>35/72</td>
<td>T&lt;sub&gt;3&lt;/sub&gt; nmol/l (mean ± s.d.) 0.58 ± 0.16</td>
</tr>
<tr>
<td>(16)</td>
<td>Prospective cohort study</td>
<td>Forty-nine neonates with sepsis (documented bacteremia in 11 and meningitis in 8)</td>
<td>Non-survivors versus survivors, 16 vs 33</td>
<td>0.73 ± 0.03</td>
<td>ND</td>
<td>T&lt;sub&gt;3&lt;/sub&gt; nmol/l (mean ± s.d.) 0.54 ± 0.15</td>
</tr>
<tr>
<td>(17)</td>
<td>Prospective cohort study</td>
<td>Twenty-six children admitted in PICU with sepsis due to bacteremia by Neisseria meningitidis</td>
<td>Non-survivors versus survivors, 8 vs 18</td>
<td>0.83 vs 2.4 (P &lt; 0.05)</td>
<td>16/26</td>
<td>T&lt;sub&gt;3&lt;/sub&gt; nmol/l (median [IQR]) 0.53 (0.34–0.76) 0.38 (0.26–0.42)</td>
</tr>
<tr>
<td>(18)</td>
<td>Prospective cohort study</td>
<td>Twenty-seven adults admitted in the ICU with septic shock due to pneumonia, peritonitis, urinary tract infection, or bacteremia</td>
<td>Non-survivors versus survivors, 12 vs 15</td>
<td>50 ± 19</td>
<td>18/27</td>
<td>T&lt;sub&gt;3&lt;/sub&gt; nmol/l (mean ± s.d.) 0.038 ± 0.19</td>
</tr>
</tbody>
</table>

**Note:** T<sub>3</sub>, T<sub>3</sub>total, TBG, IL-6, P, NS.
Comparison of whole study population

<table>
<thead>
<tr>
<th>Study design</th>
<th>Characteristics of whole study population</th>
</tr>
</thead>
<tbody>
<tr>
<td>Prospective cohort study</td>
<td>Thyroid hormon levels in the compared groups</td>
</tr>
<tr>
<td>Study</td>
<td>Age in years, median (range) or mean ± S.D.</td>
</tr>
<tr>
<td>Non-survivors</td>
<td>57.6 ± 17.8</td>
</tr>
<tr>
<td>Survivors</td>
<td>30 ± 12.8</td>
</tr>
</tbody>
</table>

In the remaining study, which evaluated children with meningococcal sepsis admitted to the PICU, those who did not survive had higher baseline serum levels of T3 than those who survived, while no difference was detected in the total and free T4 levels (17). In this study, non-survivors also had higher baseline levels of TSH.

Higher baseline TSH values in non-survivors were also observed in another study that evaluated children with septic shock admitted to the PICU. This study did not demonstrate differences in the levels of T3 or T4 between non-survivors and survivors (1). On the contrary, lower baseline TSH was associated with higher mortality in a study that evaluated septic neonates admitted in the ICU (12); this was also accompanied with lower T3 and T4. The baseline TSH levels in the remaining six studies did not differ between patients with an unfavorable outcome compared with those with a favorable outcome. In addition, a lower rT3 value and a higher T3/rT3 ratio were associated with an adverse outcome in two studies (14, 17), whereas non-significant findings were observed in the other two studies that assessed the same parameters (13, 19).

Three of the included studies further evaluated the above-described associations in multivariate analysis. One of these studies showed that in pediatric patients who survived meningococcal septic shock, lower baseline serum T4 was an independent predictor of an unfavorable outcome, specifically prolonged ICU stay (13). The baseline interleukin 6 (IL6) level was also independently associated with this outcome. The length of ICU stay was estimated to increase by 15% for every doubling of the IL6 concentration and 16% for every 10 nmol/l decrease in the T4 level (13). The second study that included pediatric ICU patients with meningococcal sepsis or septic shock showed that the effect of the thyroid function tests on mortality lost significance in the multivariate analysis, and that IL6 was the only independent predictor of this outcome (14). In the remaining study, which was performed on newborns admitted to the ICU with bacterial sepsis, the presence of euthyroid sick syndrome was independently associated with mortality (12).

In Table 2, we present data on the correlation of thyroid function tests at baseline with various sepsis prognostic scores. Such associations were reported in three of the nine included studies, which all evaluated children with meningococcal sepsis or septic shock admitted to the PICU (13, 14, 17). In one of these studies, both the pediatric risk of mortality (PRISM) score and the sequential organ failure assessment score had a moderate negative correlation with the baseline T4 value (14). In another study, the PRISM score had a rather weak positive correlation with baseline TSH (17). In the remaining study, no significant relevant associations were observed (13).
In addition, in the study, in which higher $T_3$ levels were observed in non-survivors of meningococcal septic shock, the age of the non-survivors was significantly lower than that of the survivors (10 vs 29 months respectively) (17). The changes that occur in thyroid hormone levels during the first month of life could, at least in part, account for the differences in the $T_3$ levels observed in this study. Specifically in neonates, the plasma thyroid hormone levels are typically elevated observed in this study. Specifically in neonates, the plasma thyroid hormone levels are typically elevated compared with those in older children (22). This is related to the TSH surge that occurs in the immediate postnatal period and to the elevated TBG levels secondary to maternal estrogen (22). In normal term neonates, the total and free $T_4$ levels fall gradually over the next 4–6 weeks but remain higher than in older children and adults for a few months. The $T_3$ levels gradually reach those of infancy, between 2 and 12 weeks of life (22, 23). In early neonatal life, there has also been observed an increased activity of type III deiodinase (D3) enzyme with secondary increase in $rT_3$ levels and increase in the degradation of $T_3$ to $T_2$, which reaches the adult range during the fourth postnatal month (22, 23).

It is questionable whether the findings of our review can be applicable to adult patients with sepsis or septic shock, who were evaluated in only two of the included studies. Relevant data suggest that children have different hemodynamic responses to critical illness and septic shock. Children more commonly suffer from progressive cardiac failure than adults and can respond to inotropic therapy (24). Mortality in pediatric septic shock is usually lower than in adults (24).

It is generally accepted that the alterations in thyroid hormones observed during critical illness constitute part of an adaptive metabolic response. This is based on the finding that the great majority of patients recover normal thyroid function after the critical illness subsides (25). It has also been suggested that the decrease in metabolic function observed during the systemic inflammatory response syndrome and the accompanying multiorgan

### Table 2

<table>
<thead>
<tr>
<th>Study</th>
<th>Baseline sepsis prognostic score, median (range) or mean ± s.d. (patients with unfavorable versus favorable outcome)</th>
<th>Correlation of thyroid hormones with sepsis prognostic score</th>
</tr>
</thead>
<tbody>
<tr>
<td>(13)</td>
<td>PRISM: 21 (12–46) vs 20 (9–35)</td>
<td>$T_3$ $T_4$ $fT_3$ $fT_4$ TSH TBG</td>
</tr>
<tr>
<td>(14)</td>
<td>PRISM: 32 (23–43) vs 21 (8–35) and 9 (5–13) SOFA: 15 (13–19) vs 9 (3–17) and 2 (0–6)</td>
<td>NS $r = -0.62$ (P &lt; 0.05) NS NS NS $r = -0.57$ (P &lt; 0.05)</td>
</tr>
<tr>
<td>(17)</td>
<td>PRISM: 31 (29–34) vs 17 (14–17)</td>
<td>$r = 0.29$ (NS) $r = -0.34$ (NS) $r = -0.41$ (NS) $r = 0.42$ (P &lt; 0.05)</td>
</tr>
</tbody>
</table>

$r$, Spearman's correlation coefficient. $fT_3$, free triiodothyronine; $fT_4$, free thyroxine; TBG, thyroxine-binding globulin; PRISM, pediatric risk of mortality score; SOFA, sequential organ failure assessment score; APACHE, acute physiologic and chronic health evaluation score; NS, non-significant; ND, no data were reported.
dysfunction may help protect cell survival (26). Still, thyroid disorders are relatively common in the general population, with an estimated prevalence of 1–10% (27). The presence of even subclinical abnormalities might be important (28), as subclinical hypothyroidism has been linked to excess mortality in certain patient groups (28, 29). Some studies have also found that a subset of patients with critical illness can have true hypothyroidism (11). High TSH or reduced rT3 can be suggestive of such a diagnosis (11, 30). Thus, the findings of some of the studies included in our review that higher TSH, lower rT3, or lower T3 and T4 are associated with an unfavorable outcome of patients with sepsis or septic shock could imply that, at least for a minority of these patients, thyroid hypofunction during sepsis or septic shock might influence per se the outcome of this condition. This hypothesis merits further evaluation in appropriately designed studies.

Some studies performed in animal models of sepsis or septic shock support the hypothesis that relative thyroid insufficiency is associated with a worse outcome. For example, lower baseline serum T4 and free T4 have been associated with decreased likelihood for survival in severely ill dogs (puppies) with parvoviral diarrhea (31). In an experimental sepsis model in rats, those that were previously thyroidectomized showed abolishment of the hyperdynamic response that physiologically accompanies early-stage sepsis. In the thyroidectomized animals (32), it was observed that the administration of T4 reversed the decrease in survival.

Another experimental study in rats showed that thyroid hormone supplementation during sepsis can increase survival (33). A particular beneficial effect of thyroid hormone administration in rats with experimentally induced sepsis has been documented on lung mechanics and histology, which was mainly attributed to enhanced synthesis of surfactant (34, 35). Still, other studies on experimentally induced sepsis have failed to show a benefit of thyroid hormone replacement (7, 32, 36). In humans, there is little evidence regarding thyroid hormone substitution during critical illness. Of note, some relevant clinical studies have suggested that T4 supplementation can lead to reduction in the needs for vasoactive drug administration in circulatory shock (37).

Thyroid hormone supplementation during sepsis or septic shock can lead to a reciprocal decrease in TSH. This issue needs particular attention, as there appears to be a rather complex pathophysiological interplay between TSH and the immune system. Specifically, TSH has been shown to affect the function of various types of hematopoietic and immune system cells that express the TSH receptor (38, 39). Such cells mainly include subsets of hematopoietic cells in the bone marrow, as well as peripheral monocytes, dendritic cells, and T-lymphocytes. Furthermore, some of the above cell types can produce biologically active TSH that can have autocrine and paracrine actions, which can influence the early stages of the immune response to an antigen (38, 39). These actions can include the regulation of the synthesis and release of mediators of inflammation, such as IL6 and tumor necrosis factor-α (TNF-α). Various immune system cells have also been found to express T3, a process that is under the influence of TSH (40). It has thus been hypothesized that the immune system cells can affect the systemic thyroid hormone activity.

It is debatable whether the data included in our review regarding the association between thyroid function and sepsis or septic shock can be extrapolated to other types of critical illness. Several inflammatory cytokines, such as IL1β, IL6, and TNF-α, can suppress, via direct or indirect pathways, the thyroid function at different levels (41, 42). In sepsis, the increase in the production of pro-inflammatory cytokines is more pronounced than that in other types of critical illness (43, 44). In this respect, baseline levels of thyroid hormones, including T4, T3, and TSH, can be substantially lower in septic patients than in non-septic patients with critical illness of similar severity (45). The degree of endothelial activation and dysfunction can also be greater in sepsis than in other types of critical illness, as reflected by higher levels of E-selectin, intercellular adhesion molecule-1, and von Willebrand factor activity that have been observed in some studies (43, 44, 46).

The findings of our review regarding the association between thyroid hormone abnormalities and the outcome of patients with sepsis or septic shock indicate that these abnormalities could be of prognostic value. In the studies included in our review, the association between established sepsis prognostic systems and baseline thyroid hormones was at the most moderate. Thus, the prognostic value of thyroid hormones may be independent of other prognostic markers. Likewise, other studies performed in critically ill patients have shown that taking into consideration the baseline thyroid function tests can add to the predictive capacity of the APACHE score (11, 47).

In conclusion, the majority of the identified studies that evaluated the baseline thyroid function tests in patients with sepsis or septic shock provide data that favor the existence of an association between lower T3 or T4 and worse outcome. Since thyroid hormone abnormalities are very common in septic patients, future studies should aim to more clearly establish the strength of the above-mentioned association or even examine whether a causal relationship between thyroid hypofunction and adverse outcome exists. The role of the thyroid hormone abnormalities as predictors of outcome of septic patients on top of the known risk prognostic scoring systems warrants also further evaluation.

Declaration of interest

The authors declare that there is no conflict of interest that could be perceived as prejudicing the impartiality of the review reported.
Funding
This review did not receive any specific grant from any funding agency in the public, commercial or not-for-profit sector.

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Received 2 November 2010
Accepted 15 November 2010