Autoimmune thyroid disease: propagation and progression

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Abstract

Autoimmune thyroid disease is the archetype for organ-specific autoimmune disorders. Progress in treating these disorders lies in improvements of our understanding of the predisposing factors responsible, the mechanisms responsible for progression of disease, and the interaction between thyroid antigens and the immune system at the level of the T cell and antibody. In common with other autoimmune diseases, genetic, environmental and endogenous factors are required in an appropriate combination to initiate thyroid autoimmunity. At present the only genetic factors which have been confirmed lie in the HLA complex and CTLA-4 or a closely linked gene. Identifying other predisposing genes will require large-scale family studies, or further insights into likely candidate genes. A number of environmental factors are known to predispose to autoimmune thyroid disease, including smoking, stress and iodine intake, while immunomodulatory treatments are revealing new pathways for disease emergence.

The thyroid cell itself appears to play a major role in disease progression, interacting with the immune system through expression of a number of immunologically active molecules including HLA class I and II, adhesion molecules, cytokines, CD40 and complement regulatory proteins. New techniques, in particular phage display libraries, are providing the methods with which to identify autoantibody diversity in autoimmune thyroid disease and to provide tools for mapping autoantigenic epitopes. Application of these techniques is likely to lead to an understanding of how TSH receptor antibodies interact with the receptor to cause Graves’ disease and also to the identification of novel orbital autoantigens in thyroid-associated ophthalmopathy.

Introduction

It is now 46 years since the original delineation of thyroid autoimmunity, first in rabbits immunised with thyroglobulin in adjuvant (1), and then in man, with the description of antibodies to thyroglobulin in the serum of patients with Hashimoto’s thyroiditis (2). In the same annus mirabilis, the abnormal thyroid stimulator which turned out to be the cause of Graves’ disease was also identified (3). As then, there are four important questions which have only partially been answered over the intervening period: (i) why does autoimmune thyroid disease (AITD) start; (ii) why does it progress; (iii) what is the nature of the interaction between thyroid autoantigens and the immune system; and (iv) how can these disorders be better treated? The answer to the last depends on clear answers being obtained to the first three questions, which is why treatment has not progressed greatly over the last 60 years, most tellingly in the case of thyroid-associated ophthalmopathy (TAO).

This paper is based on the Merck Lecture given at the European Thyroid Association meeting in Göteborg 2002, and as a result is biased largely to the work from my own group. Nonetheless, it may provide some insight into the way these four questions have been and continue to be addressed. Extensive historical reviews of the subject can be found elsewhere (4–6).

Predisposition

In common with probably all autoimmune disorders, the interplay of genetic, environmental and endogenous factors is required in the right combination to initiate thyroid autoimmunity. Combinations will vary between individuals, and some factors are shared by all AITDs, while others are unique to the individual disorders. In very general terms predisposing factors operate by disturbing immunological tolerance (Fig. 1), although these processes are far more easily followed in animal models than in man (7). Endogenous factors are often overlooked, but pregnancy is an important risk factor for Graves’ disease and autoimmune hypothyroidism, as well as postpartum thyroiditis, and stochastic events during the gene
rearrangements that create the repertoire of antigen-recognition molecules, namely antibodies and T cell receptors, may also have a crucial role in ensuring that autoreactive lymphocytes are or are not available to cause tissue injury.

Genetic factors

The evidence for a role for genetic susceptibility in AITD is compelling. Original clinical observations such as the presence of thyroid autoantibodies in half of the siblings of probands with autoimmune hypothyroidism (8) were followed by the identification of loci within the murine major histocompatibility complex (MHC) which were associated with a good or poor response to thyroglobulin immunisation (9). MHC class II alleles were later associated with Graves' disease (10) and a huge number of studies since have confirmed the involvement of these HLA-D region genes in susceptibility. However, the effects are modest, not least as judged by the difficulty in confirming linkage rather than simple association (11), susceptibility varies between ethnic groups, and the major MHC specificity involved in Graves' disease in Caucasians, HLA-DR3, is also a risk factor for many other autoimmune diseases. Concordance studies in twins have shown a much bigger genetic effect than is conferred by the HLA alone (12).

All these observations imply the existence of other non-MHC loci, yet so far only polymorphisms of the CTLA-4 gene have been confirmed as additional susceptibility markers. CTLA-4 is a key immunomodulatory molecule expressed on T cells, capable of inducing anergy and terminating a T cell response when triggered by costimulatory molecules (Fig. 2). It is, therefore, a logical candidate for playing a role in autoimmunity, although there are genes encoding other immunoregulatory molecules in linkage disequilibrium with CTLA-4, so caution is still needed in ascribing any effect directly to this gene alone. Initially CTLA-4 polymorphisms were associated with Graves' disease (13) but subsequently it became clear that the same effect was seen in autoimmune hypothyroidism (14) as well as in other related disorders including type 1 diabetes mellitus, Addison's disease and vitiligo. At present, therefore, we know of only two rather non-specific genetic loci in AITD that may operate by having generally enhancing immunological effects and we know of nothing that would explain tissue specificity.

As an alternative to the approach of examining the many other candidate thyroid and immunological genes that exist, recent endeavours have focused on genome-wide scans to identify additional loci which may shed new light on pathogenesis. In Caucasians,
several loci have been identified by this approach. To summarise a large number of studies, the following chromosomal locations have been linked to Graves’ disease: 1q31, 18q21, 20q11, Xp11 and Xq21, while 6p, 13q32 and 12q22 have been linked to Hashimoto’s thyroiditis and AITD (15–18). It is apparent that relatively small numbers of families have been tested so far, and previous genome scan results in other diseases such as type 1 diabetes mellitus have shown the need to undertake studies of appropriate size in order to have sufficient power to avoid type 1 or type 2 statistical errors.

A thyroid genetics consortium was established in collaboration with Oxagen, Abingdon, UK in 1996, with five centres in the UK, two in continental Europe and two in Australasia, the aim being to collect 600 Caucasian sibling pairs affected by AITD. Such a sample size was judged necessary to achieve adequate statistical power based on a model of five interacting loci and a conservative α (a measure of sibling risk) of five in AITD. The collection has just been completed, involving 558 families, totalling 871 sibling pairs, together with 935 unaffected relatives, and the genome analysis was conducted using 395 linked markers at 10 cM intervals. Initially we have focused on the previously reported loci (15–18) and none gave a maximum lod score of greater than 1.5, which was judged to be significant in this analysis. Of the two other known loci in AITD, namely MHC and 2q33 containing CTLA-4, only the latter showed linkage in the patients with Graves’ disease (Thyroid Genetics Consortium, manuscript in preparation).

It should be borne in mind that linkage analysis is only suitable for detecting relatively large genetic effects, whereas association studies may pick up minor but significant effects, including those that may be less than 10% of the entire genetic contribution to disease. Whilst we hope that novel loci will be revealed by our continuing analysis of the remainder of the genome in these families, it already seems likely that there is no single novel gene conferring a large proportion of the genetic susceptibility to AITD, and that therefore a relatively large number of genes may contribute with effects similar to or less than the MHC and CTLA-4. An outstanding remaining question is whether Graves’ disease and autoimmune hypothyroidism are genetically distinct; if so, an understanding of the basis for this distinction would be an important step in further understanding disease pathogenesis. The clinical course of patients who fluctuate between the two disorders, familial clustering and the late occurrence of spontaneous hypothyroidism in a proportion of Graves’ patients treated with antithyroid drugs have previously suggested a partially shared pathogenesis, but it is increasingly striking how, apart from CTLA-4, there is a genetic separation between the two disorders (16, 19).

Environmental factors

As reviewed elsewhere, excess iodine intake is a risk factor for both Graves’ disease and autoimmune hypothyroidism, as well as animal models of AITD (6, 20). Allowing for the biochemical Jod–Basedow and Wolff–Chaikoff effects, there is probably an additional, immunological basis to this adverse effect, which may lie at the level of autoantigen release or altered immunogenicity of thyroid antigens. Stress and drugs, especially agents such as α-interferon (α-IFN), may precipitate AITD in predisposed individuals through their effects on immunoregulatory mechanisms (6, 21). Smoking is a minor risk factor for Graves’ disease and a major risk factor for TAO for reasons which remain unclear; one explanation for the adverse effect of smoking in TAO is that partial hypoxia increases the synthesis of glycosaminoglycans by retrobulbar fibroblasts, thereby exacerbating the extraocular muscle oedema and swelling which mechanically lies at the heart of the disease process (22).

Perhaps the most striking example of a disturbance in immunoregulation causing AITD is the emergence of Graves’ disease in a third of patients with multiple sclerosis treated with the humanised anti-CD52 monoclonal antibody Campath-1H (23). Unlike the precipitation of disease caused by α-IFN, this effect does not seem to be due to an exacerbation of subclinical thyroid disease, nor is there an underlying association between multiple sclerosis and thyroid autoimmunity, based on a recent large survey of almost 200 multiple sclerosis patients (R Roxburgh et al., unpublished observations). One explanation for this adverse effect of anti-CD52 treatment is that the lymphocytes which reappear after depletion are biased away from a Th1 and towards a Th2 phenotype, leading to suppression of the autoimmune response in multiple sclerosis, but permitting the development of the antibody-mediated Graves’ disease.

An alternative reason might be some effect of the monoclonal antibody on CD4+ CD25+ regulatory T cells, akin to the effects of thymectomy and sublethal irradiation, which cause specific autoimmune disorders such as thyroiditis and diabetes in genetically susceptible rats (24). Little, so far, is known about the role of these cells in AITD, although one can confidently predict a rapid change in this situation as their importance in peripheral tolerance is increasingly established (25). However, abnormalities in this T cell subset seem unlikely to account for previous observations of a T suppressor cell defect in AITD based on allogeneic cultures (26), as CD4+ CD25+ T regulatory cells require MHC-restricted specific antigen presentation for their activation (although they are then non-specific in their effects), and certainly would not correspond to the proposal that the suppressor defect is in the CD8+ compartment (27). Understanding how Graves’ disease arises after Campath-1H treatment, or indeed how
spontaneous remission occurs in some patients with AITD, especially postpartum thyroiditis, should give crucial cues for the development of new treatments aimed at restoring normal immunoregulation.

**How the autoimmune process progresses**

It is a commonplace observation that thyroid autoantibodies and focal thyroiditis occur with much greater frequency than the full-blown autoimmune diseases of hypothyroidism and Graves’ disease. At least one explanation for the progression of the autoimmune process is the involvement of thyroid cells themselves in this chain reaction. Rather than being the innocent victims of an unchecked and disordered immune system, it is increasingly obvious that the target cells interact with the immune system, often in ways that teleologically would seem to be defensive and protective, and yet which go awry and exacerbate autoimmunity under particular circumstances. Since multiple steps in this interaction exist, the potential for genetic and environmental factors to operate increases, leading to the diversity seen in the rates of disease progression.

The first inkling of this complicity came with the demonstration of MHC class II expression, in the form of HLA-DR molecules, by thyroid cells from patients with AITD but not in healthy controls (28). In a separate paper, Bottazzo and colleagues described the potential that this phenomenon created, whereby thyroid cells could become thyroid autoantigen presenting cells, capable of initiating or perpetuating AITD (29). It quickly became apparent that the only stimulus able to induce MHC class II expression on thyroid cells was the T cell cytokine, γ-IFN, that normal thyroid cells responded exactly as did AITD thyroid cells to this stimulus, and that, in animal models of AITD, class II expression by thyroid cells always followed the appearance of lymphocytic infiltration of the gland (30–32). Class II expression did not, therefore, initiate the process but could it exacerbate AITD by enhancing antigen presentation? Moreover, could thyroid cells stimulate naïve CD4⁺ T cells that required costimulatory signals for their activation (Fig. 2)?

We have been unable to detect expression of the main costimulatory molecule, B7 (CD80), on thyroid cells in vivo, or in vitro after stimulation with multiple cytokines (33). Thyroid cells can present peptide antigens to T cell clones that are not B7-dependent but cannot do so when there is a requirement for B7-mediated costimulation (34). In the latter case, the presentation of antigen by thyroid cells without B7 results in T cell anergy, which is mediated by both Fas-dependent and -independent pathways (35). Thus, thyroid cells induce peripheral tolerance in naïve T cells by MHC class II expression, but this defence mechanism backfires when thyroid autoimmunity is initiated by professional antigen presenting cells capable of supplying costimulation (Fig. 3). Under such circumstances, class II expression becomes a liability, leading to disease exacerbation by increasing antigen presentation.

At the same time as inducing MHC class II expression, γ-IFN increases MHC class I expression by thyroid cells, with the potential to increase their susceptibility to recognition by cytotoxic CD8⁺ T cells, believed to be responsible for much of the tissue destruction in autoimmune hypothyroidism. Perhaps more significantly, thyroid cell expression of intercellular adhesion molecule-1 (ICAM-1) and lymphocyte function-associated antigen-3 (LFA-3) is enhanced by γ-IFN, tumour necrosis factor (TNF) and interleukin-1 (IL-1), which can be shown to have a direct enhancing effect on

![Figure 3](https://www.eje.org)

**Figure 3** Effect of class MHC II expression on thyrocytes. In the upper panel, transient expression of class II may serve to induce peripheral tolerance in potentially autoreactive T cells, for example during viral thyroiditis. In the lower panel, previously activated T cells, no longer requiring a costimulatory signal, will proliferate in response to class II-positive thyrocytes presenting thyroid autoantigens, thereby perpetuating the autoimmune process.
both cytotoxicity and other interactions with lymphocytes, as both molecules are important in the binding of lymphocytes to targets (36, 37). Others have shown that thyroid cells express CD44 which acts as a homing receptor for hyaluronan, mediates leukocyte rolling, the first step in tissue homing, and may (like ICAM-1) induce lymphocyte activation under certain circumstances; expression of CD44 by thyroid cells is presumably also altered by cytokines as lymphocytes can upregulate expression (38).

Another pathway by which lymphocytes may be activated in AITD is through their CD40 ligand (CD154) binding to CD40 expressed on thyroid cells (39). Again, CD40 is upregulated by the cytokines γ-IFN, IL-1 and TNF, known to be produced by the thyroid lymphocytic infiltrate, and ligation of CD40 on thyroid cells may have additional direct effects including the release of cytokines such as IL-1. Many cytokines are now known to be produced by thyroid cells especially after stimulation with IL-1, including IL-1, IL-6, IL-8, IL-12, IL-13 and IL-15 (40–42); recently we have also found expression of IL-17 and IL-18 mRNA by thyroid cells stimulated with IL-1, γ-IFN or TNF (R Ajjan et al., unpublished observations).

Thyroid cells, like most nucleated cells, are relatively resistant to the lytic effects of complement, resulting from the expression of a number of inhibitory proteins which prevent the assembly of complement membrane attack complexes. The most important of these proteins is CD59, which shows increased expression in AITD secondary to cytokine stimulation of thyrocytes (43–45). Although thyrocytes are spared from lysis by this mechanism, sublethal effects of complement activation may still be potent in exacerbating the autoimmune process, as thyroid cells are metabolically impaired and release cytokines, prostaglandins and reactive oxygen metabolites when subjected to sublethal complement attack (45). These responses are attenuated by antithyroid drugs, which are actively concentrated by thyroid cells, and this effect may account for the thyroid-specific immunomodulatory activity of these agents, which cause a fall in thyroid autoantibodies and a permanent remission in up to half of Graves’ patients so treated (46).

In summary, thyroid cells actively participate in the autoimmune process in AITD (Fig. 4). Upregulation of MHC class II and complement inhibiting proteins by thyroid cells may be viewed teleologically as protective responses, especially through the mechanism of peripher al tolerance, but it is difficult to see how expression of cytokines, chemokines, adhesion molecules and CD40 can be anything other than harmful. Moreover, this is by no means a complete list of immunologically relevant molecules expressed by thyroid cells in AITD. For instance, thyroid cells can produce nitric oxide (47) and much recent attention has been focused on Fas (CD95) and Fas ligand expression by thyroid cells, which may play a crucial role in thyrocyte apoptosis and thus in their killing by cytotoxic T cells, but it is also possible that any Fas ligand expression may help in the tolerisation of Fas+ T cells, creating a condition of protection from autoimmunity which has been termed ‘immunological privilege’ (48, 49).

Autoantigen recognition by T cells

Defining the nature of the interaction between the antigen receptors of the immune system, namely the T cell receptors (TCR) and immunoglobulin antibodies, and autoantigenic epitopes is not merely an arcane exercise in the pursuit of knowledge. Some forms of specific immunotherapy, already successful in animal models of autoimmune disease, depend on interference with this interaction and further understanding should shed new light on pathogenesis. CD4+ T cells recognise short antigenic peptides presented by MHC class II molecules using a TCR that is a heterodimer of an α and β chain, whose antigen recognition structure is encoded by variable (V) α and β genes. Considerable interest was aroused when the Vα usage of thyroid-infiltrating T cells in Graves’ disease was shown to be heavily restricted, with around 4 out of a possible 18 Vα gene families being utilised (50, 51). Vα usage varied between patients but, nonetheless, such restriction implied a narrow autoimmune response, and selective depletion of these T cells might therefore offer a safe and relatively specific means of treatment. In our patients, and those of other groups, however, no such Vα restriction has been evident, even in IL-2 receptor-positive CD4+ T cells which largely contribute the activated, antigen-responding population (52, 53).

This unrestricted picture is not unexpected. At least three major and possibly several minor thyroid autoantigens exist, and there are multiple peptide epitopes in each. Indeed, the key lesson from studies on the epitopes of thyroid peroxidase (TPO) and thyrotrphinreceptor (TSH-R) recognised by T cells is that there is
no obvious immunodominant region (54–56), with again disappointing implications for the development of specific immunotherapy using peptide epitope antagonists. This lack of restriction in the CD4\(^+\) T cell response in AITD is the result of disease duration, causing ‘determinant spreading’, in which the initial response against a single epitope in an antigen becomes diversified as other T cells are attracted to the site, and cryptic epitopes within the antigen, and even epitopes of other antigens, are presented to these recruited T cells in the course of the inflammatory response. It is unlikely that, even with the development of predictors of disease, we will be able to intervene early enough in the CD4\(^+\) response in AITD to use treatments based on inhibiting this response at the TCR-peptide epitope level.

### TPO and TSH-R autoantibodies

A series of pioneering studies by Rapoport, McLachlan and colleagues has utilised phage display technology to establish human monoclonal Fab antibody fragments against TPO from patients with AITD (57, 58). Using the thyroid as a major site of thyroid autoantibody synthesis, surgical specimens from patients with AITD were used to obtain a library of immunoglobulin DNA sequences by reverse transcription-polymerase chain reaction which were then expressed in phage plasmids that could be screened for expression of antigen-binding Fabs by panning on solid phase-bound TPO. The yield of adherent phage expressing appropriate Fabs could then be enhanced by successive rounds of panning before cloning, sequencing and expression. A surprising degree of heavy and light chain V gene restriction was found, and the Fabs were also shown to recognise primarily one of two broad domains in TPO. Our own studies have confirmed this finding even when lymph node-derived lymphocytes are used from patients with AITD, a site where maximum diversification of the immunoglobulin repertoire might be expected (59, 60).

However, the key targets for such studies now are TSH-R antibodies, and developing human monoclonal TSH-R antibodies has been termed the Holy Grail of research in AITD (61). This interest is sparked by the need to understand these unusual antibodies which have such obviously potent pathological effects despite their low serum concentration relative to other autoantibodies. Besides permitting epitope mapping, monoclonal TSH-R antibodies could be used to dissect out the intracellular signalling pathways in thyroid cell activation and growth and would also permit analysis of immunoglobulin V gene usage. There is already evidence that TSH-R antibodies are relatively restricted in both heavy and light chains, implying an oligoclonal origin that could perhaps be targeted therapeutically (62–64). So far, no antibodies which satisfy the stringent criteria established by Rapoport and McLachlan (Table 1) have been obtained. The reasons for this failure include the rarity of TSH-R-specific B cells, even in the thyroid and especially after treatment with antithyroid drugs, and the difficulty of expressing the receptor in a native state that can be used to bind antibodies, for instance, as expressed by phage display libraries (65).

However, although the Holy Grail of this quest remains elusive, its pursuit has led to several new developments. At present, the only method for detecting TSH-R stimulating antibodies remains bioassay, with all the vagaries that this imposes, coupled with low and slow throughput. To screen large numbers of potentially stimulating antibodies, new assay methods have been developed including a cell line transfected with the TSH-R coupled to a cyclic AMP-luciferase signalling system, allowing rapid read-out of cyclic AMP production in a luminometer (66). Others have developed transfected cell lines with the TSH-R expressed on the cell via a glycosylphosphatidylinositol (GPI) anchor; high levels of surface receptor allow these cells to be used in sensitive flow cytometry assays (67, 68). Such cells can be used to show the presence of non-immunoglobulin (Ig) G class TSH-R antibodies in patients with TAO who do not otherwise appear to have autoimmunity against the receptor, thus narrowing the odds that the immune system in all (or almost all) patients with TAO recognises TSH-R, hence making this a prime autoantigen in the orbital autoimmune response (69).

Whether TSH-R is the only autoantigen shared between thyroid and orbit remains a moot point, yet understanding the autoantigens involved in ophthalmopathy is central to improving treatment. Using a kind of reverse approach to that employed to obtain autoantibody Fabs, we have started to analyse this question by expressing a library of potential antigens from orbital tissue in phage, which can then be panned on immunoglobulins from patients with TAO, thus enriching for novel orbital antigens if there are antibodies to these in patient sera. The possibility of success with this approach can be seen from the recent cloning of the melanin-concentrating hormone receptor from phage-displayed melanoma cell antigens screened on vitiligo sera; this receptor is the first autoantigen in vitiligo associated with an autoantibody.
with a functional and therefore potentially pathogenic effect, as such antibodies interfere with ligand binding (70). Given the decades of controversy over the nature of the autoantigens in TAO, resolution of this issue might be regarded a second Holy Grail in AITD; it would be a curious coincidence if both goals could be achieved by application of the same technology, although this hope must be guarded given the difficulties encountered so far in these two areas.

Future developments in treatment

Treatment for autoimmune diseases can be classified broadly as generalised or specific. Glucocorticoids are a good example of the former. They have a wide spectrum of immunological activities and although undoubtedly effective in most autoimmune disorders, their side-effects, including the suppression of beneficial immune responses, restrict their use. As previously described, more specific therapy targeting T cell receptors or epitopes is unlikely to be successful in AITD, but the success of monoclonal antibodies targeting TNF in rheumatoid arthritis (71) suggests that similar approaches using cytokine or cytokine receptor antagonists, or using suppressive cytokines like IL-10 may be more successful (72).

Redundancy in the activity of various cytokines will make targeting the individual cytokines difficult, but such treatment would seem especially suited to TAO in which IL-1, TNF and γ-IFN seem to have key roles. As already described, antithyroid drugs have a thyroid-specific immunomodulatory action which lies, at least in part, in their ability to reduce the release of proinflammatory molecules from thyrocytes. Relapses after treatment are particularly likely in patients with allergic disorders, and in those with elevated IgE and IL-13 levels (73), indicating that such drugs could be more effective if given with agents to inhibit Th2 responses, provided this did not lead to deviation of the autoimmune response to a destructive thyroiditis caused by Th1 cells.

Other approaches which offer promise include blockade of costimulation and induction of anergy through CTLA-4. Understanding more about the interaction of genes and environment might yield entirely novel pathways, some of which might be as simple as they are unexpected, such as the recently identified need to avoid smoking in relation to the development of TAO. I remain optimistic that treatment for Graves’ disease will improve over the next 46 years, as a result of the progress made since the discovery of thyroid autoimmunity in 1956.

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